

GEORGE O. SAILE & ASSOCIATES 28 DAVIS AVENUE POUGHKEEPSIE, NY 12603



September 1, 2004

To: Mail Stop Application Number

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

From: Stephen B. Ackerman

Subject:

Serial No.:

10/727,201

Filing Date: 12/03/03

Inventor:

J. M. Kang, et al

Title:

Method of Fabricating Optical Waveguide Devices with Smooth

and Flat Dielectric Interfaces

REQUEST TO CORRECT FILING RECEIPT

Dear Sir:

On the copy of the enclosed Filing Receipt, there is an error in the Assignment for Publixhed Patent Application field. The application is assigned to two companies. The second company was omitted in error. The "Assignment for Published Patent Application" field should read as follows:

Agency for Science, Technology, and Research; Photonics Concepts Pte. Ltd.

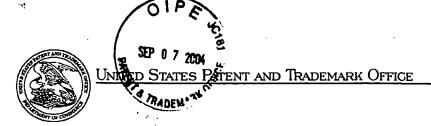
Please make the necessary change to correct the error on the Filing Receipt. A copy of the filed Recordation Cover Sheet and Assignment are enclosed for clarification.

If there are any questions, please contact the undersigned attorney at (845) 452-5863. Thank you for your attention to this matter.

Respectfully Submitted,

Stephen B. Ackerman, Reg. No. 37,761

MAR. 1 9 2004



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APPL NO	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/727,201	12/03/2003	2874	1608	IME02-021	11	57	5

CONFIRMATION NO. 5145

FILING RECEIPT

OC00000012109694

Date Mailed: 03/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considere

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

STEPHEN B. ACKERMAN

POUGHKEEPSIE, NY 12603

28 DAVIS AVENUE

Joon Mo Kang, Daejeon, KOREA, REPUBLIC OF, My The Doan, Singapore, SINGAPORE; Desmond R. Lim, Singapore, SINGAPORE;

Assignment For Published Patent Application

Agency For Science, Technology and Research;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/431,340 12/06/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/12/2004

Projected Publication Date: 06/24/2004

Non-Publication Request: No

Early Publication Request: No

Title

Method of fabricating optical waveguide devices with smooth and flat dielectric interfaces

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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RECORDATION FORM COVER SHEET **FORM PTO~1595** U.S. DEPT. OF COMMERCE Patent and Trademark Office SEP 0 7 2004 PATENTS ONLY IME02-021 To the Honorabe commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying Marty (jes): 2. Name and address of receiving party(ies): JOON MO KANG, MY THE DOAN, DESMOND R. Name: Agency For Science, Technology And LIM Research Internal Address: Additional name(s) or conveying party(ies) attached? Street Address: Nature of conveyance 11 Science Park Road #01-01/03 X | Assignment The Alpha, Merger Singapore Science Park Ii, Singapore 117684 Security Agreement Change of Name other Execution Date: <u>11/27/03</u> Additional name(s) & address(es) attached? x Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: 11/27/03 A. Patent Application No(s) B. Patent No.(s) Additional numbers attached? 5. Name and address of party to whom correspondence 6. Total no. of applications and patents involved:: concerning document should be mailed: Name: **GEORGE O. SAILE** Internal Address: 7. Total fee (37 CFR 3.41): \$ 40.00 Enclosed Street Address: 28 DAVIS AVENUE Authorized to be charged to deposit acct. City: POUGHKEEPSIE State: NY ZIP: 12603 8 .Deposit account number: 19-0033 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document STEPHEN B. ACKERMAN Name of Person Signing Signature

ADDITIONAL ASSIGNEE: Photonics Concepts Pte. Ltd. 21 Science Park Road #03-17 The Aquarius Singapore Science Park II Singapore 117628

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ME02-02-10-10-10-10-10-10-10-10-10-10-10-10-10-	For x U.S. and/or x Foreign Rights
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	By X Inventor(s) or Present Owner
ASSIGNMENT	OF INVENTION
In consideration of the payment by ASSIGNEI receipt of which is hereby acknowledged, and	E to ASSIGNOR of the sum of One Dollar (\$1.00), the for other good and valuable consideration,
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JOON MO KANG Type or print name of ASSIGNOR	MY THE DOAN Type or print name of ASSIGNOR
#1503 Daeweo Topia Bldg, 1083 Address Dansan Dong, Seo-Fu,	38 Dover Rise Tower A # 05-04. SINGAPORE 138684
Daejeon 302-889, KOREA	Address
KOREA Nationality	CANADA Nationality
DESMOND R. LIM Type or print name of ASSIGNOR 16. WILMONAR AUE Address	
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Agency For Science, Technology and Resea 20 Biopolis Way #07-01 Centros Singapore 138668	arch Photonics Concepts Pte Ltd 21 Science Park Road, #03-17 The Aquarius, Singapore Science Park II, Singapore 117628
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in and to any and all improvements which are	disclosed in the invention entitled:

Method Of Fabricating Optical Waveguide Devices With Smooth And Flat Dielectric Interfaces



and which is found in

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